

# The gauge group and perturbation semigroup of an operator system

Rui Dong

`ruidong@science.ru.nl`

Department of Mathematics  
Radboud University Nijmegen

March 16th, 2022

## Outline

Background information about operator systems

The gauge group of an operator system

Perturbation Semigroup of an operator system

Gauge group of the Toeplitz system





# Background information about operator systems

## Definition

Let  $\mathcal{H}$  be a Hilbert space,  $B(\mathcal{H})$  be the set of all bounded operators on  $\mathcal{H}$ . A concrete operator system is a (usually closed) linear subspace  $\mathcal{E}$  of  $B(\mathcal{H})$  that is closed under the involution, i.e.,  $x \in \mathcal{E}$  implies  $x^* \in \mathcal{E}$ .



## Background information about operator systems

### Definition

Let  $\mathcal{E}$  be an operator system,  $\varphi : \mathcal{E} \rightarrow \mathcal{E}$  be a linear map, and  $\varphi_n$  be the induced map  $\varphi_n : M_n(\mathcal{E}) \rightarrow M_n(\mathcal{E})$ .

- 1 The map  $\varphi$  is called completely bounded if  $\sup_{n>0} \|\varphi_n\| < \infty$ , and we set

$$\|\varphi\|_{cb} = \sup_{n>0} \|\varphi_n\|.$$

- 2 The map  $\varphi$  is called  $n$ -positive if  $\varphi_n$  is positive, and  $\varphi$  is called completely positive if  $\varphi_n$  is  $n$ -positive for all  $n > 0$ .

In addition if  $\varphi$  is unital we call it a unital completely positive (UCP) map.



# Background information about operator systems

## Fact about a UCP map $\varphi$

Let  $\{V_i\}_{i \leq k} \subset B(\mathcal{H})$  such that  $\sum V_i^* V_i = \text{Id}$ . Then the map

$$\begin{aligned}\varphi : B(\mathcal{H}) &\rightarrow B(\mathcal{H}) \\ x &\mapsto \sum V_i^* x V_i\end{aligned}$$

is a unital completely positive map.



# The gauge group of an operator system

We can embed  $\mathcal{E}$  into some  $C^*$ -algebra  $\mathcal{A}$ , and then take the gauge group of  $\mathcal{E}$  as the collection of unitary elements of  $\mathcal{A}$  that keep  $\mathcal{E}$  invariant under the unitary transformation, i.e.,

$$\mathcal{G}(\mathcal{E}) := \{u \in \mathcal{A} : u^* \mathcal{E} u \subset \mathcal{E}\}.$$

The  $C^*$ -algebra  $\mathcal{A}$  can be taken as

- 1 the  $C^*$ -envelope,
- 2 the injective envelope,
- 3 the  $C^*$ -algebra  $C^*(\mathcal{E})$  generated by  $\mathcal{E}$ .
- 4 else

# The gauge group of an operator system

## Definition

We define the gauge group  $\mathcal{G}(\mathcal{E})$  of  $\mathcal{E}$  as

$$\mathcal{G}(\mathcal{E}) := \{U \in \mathcal{U}(C^*(\mathcal{E})) \mid U^* \mathcal{E} U \subset \mathcal{E}\},$$

here  $\mathcal{U}(C^*(\mathcal{E}))$  denotes the group of all the unitary elements in  $C^*(\mathcal{E})$ .

# The gauge group of an operator system

## Definition

We denote by  $\text{UCP}_{\text{rank}=1}(\mathcal{E})$  the collection of rank-1 unital completely positive maps, i.e.,

$$\text{UCP}_{\text{rank}=1}(\mathcal{E}) :=$$

$$\left\{ \varphi : \mathcal{E} \rightarrow \mathcal{E} \mid \varphi(\cdot) = V^*(\cdot)V \text{ for some } V \in B(\mathcal{H}) \text{ with } V^*V = \text{Id} \right\}.$$

## Proposition

There is a multiplicative map  $\Psi : \mathcal{G}(\mathcal{E}) \rightarrow \text{UCP}_{\text{rank}=1}(\mathcal{E})$  defined as

$$\Psi : U \mapsto U^*(\cdot)U, \quad U \in \mathcal{G}(\mathcal{E}).$$



# Perturbation Semigroup of an operator system

Inspired by the definition of perturbation semigroups introduced in [CCvS13, NvS16, Hes16], we define the perturbation semigroup  $\text{Pert}(\mathcal{E})$  of an operator system as follows:

## Definition

Let  $\mathcal{E}$  be an operator system, we define the perturbation semigroup  $\text{Pert}(\mathcal{E})$  as the collection of all the finite sums of the form

$\sum a_i \otimes b_i^\circ \in C^*(\mathcal{E}) \otimes C^*(\mathcal{E})^\circ$  satisfying the following requirements:

- 1  $\sum a_i b_i = \text{Id}$ ,
- 2  $\sum a_i \mathcal{E} b_i \subset \mathcal{E}$ ,
- 3  $\sum a_i \otimes b_i^\circ = \sum b_i^* \otimes a_i^{*\circ}$ .

Here  $C^*(\mathcal{E})^\circ$  denotes the opposite algebra of  $C^*(\mathcal{E})$  and  $b_i^\circ, a_i^{*\circ} \in C^*(\mathcal{E})^\circ$ .

## Perturbation Semigroup of an operator system

We denote by  $\text{UCBH}(\mathcal{E})$  the collection of all unital completely bounded Hermitian maps over  $\mathcal{E}$ , i.e.,

$\text{UCBH}(\mathcal{E}) :=$

$\{\Psi : \mathcal{E} \rightarrow \mathcal{E} \mid \Psi(x^*) = \Psi(x)^*, \Psi(\text{Id}) = \text{Id}, \Psi \text{ is completely bounded}\}$ .

### Proposition ([Don21])

*There is a semigroup homomorphism  $\Phi$  from  $\text{Pert}(\mathcal{E})$  to  $\text{UCBH}(\mathcal{E})$  defined by*

$$\Phi : \text{Pert}(\mathcal{E}) \rightarrow \text{UCBH}(\mathcal{E})$$

$$\omega \mapsto \sum a_i(\cdot)b_i$$

*with  $\omega = \sum a_i \otimes b_i^\circ \in \text{Pert}(\mathcal{E})$ .*

## Perturbation Semigroup of an operator system

We denote by  $\overline{\text{Pert}(\mathcal{E})}$  the closure of  $\text{Pert}(\mathcal{E})$  with respect to the Haagerup tensor norm  $\|\cdot\|_h$ .

### Proposition ([Don21])

Let  $\mathcal{E} \subset B(\mathcal{H})$  be a unital operator system, the map  $\Phi : \text{Pert}(\mathcal{E}) \rightarrow \text{UCBH}(\mathcal{E})$  can be extended to a map

$$\tilde{\Phi} : \overline{\text{Pert}(\mathcal{E})} \rightarrow \text{UCBH}(\mathcal{E}),$$

such that  $\tilde{\Phi}|_{\text{Pert}(\mathcal{E})} = \Phi$ . Moreover, if we equip  $\overline{\text{Pert}(\mathcal{E})}$  and  $\text{UCBH}(\mathcal{E})$  with the metric topology induced by Haagerup tensor norm  $\|\cdot\|_h$  and complete bound norm  $\|\cdot\|_{cb}$  respectively, the map  $\tilde{\Phi}$  is contractive.

# Perturbation Semigroup of an operator system

## Example

Let  $\{E_{ij}\}$ ,  $1 \leq i, j \leq 2$  be the standard matrix units for  $M_2(\mathbb{C})$ . Define

$$\text{Toep}_2 = \left\{ \begin{pmatrix} a & b \\ c & a \end{pmatrix} \in M_2(\mathbb{C}) \right\}.$$

Take  $\omega_1, \omega_2 \in \text{Pert}(\text{Toep}_2)$  given as

$$\omega_1 = E_{12} \otimes E_{12}^\circ + E_{21} \otimes E_{21}^\circ + E_{11} \otimes E_{11}^\circ + E_{22} \otimes E_{22}^\circ,$$

$$\omega_2 = (E_{12} + E_{21}) \otimes (E_{12} + E_{21})^\circ.$$

By a direct computation we obtain that  $\Phi(\omega_1) = \Phi(\omega_2)$  on  $\text{Toep}_2$ , both give rise to the transposition map on  $\text{Toep}_2$ .

$$\|\Phi(\omega_1)\|_{cb} = 1 < \|\omega_1\|_h = 2.$$

# Perturbation Semigroup of an operator system

## Definition

We denote by  $\text{Pert}^+(\mathcal{E})$  the subsemigroup of  $\text{Pert}(\mathcal{E})$ :

$$\text{Pert}^+(\mathcal{E}) := \{\omega \in \text{Pert}(\mathcal{E}) \mid \omega = \sum a_i \otimes a_i^{*o} \text{ for some } a_i \in C^*(\mathcal{E})\}.$$

## Proposition ([Don21])

Let  $\overline{\text{Pert}^+(\mathcal{E})}$  be the closure of  $\text{Pert}^+(\mathcal{E})$  with respect to Haagerup tensor norm. We can extend the map  $\Phi : \text{Pert}^+(\mathcal{E}) \rightarrow \text{UCP}(\mathcal{E})$  to a map

$$\tilde{\Phi} : \overline{\text{Pert}^+(\mathcal{E})} \rightarrow \text{UCP}(\mathcal{E}),$$

such that  $\tilde{\Phi}|_{\text{Pert}^+(\mathcal{E})} = \Phi$ . Moreover, we have  $\|\omega\|_h = 1$  and  $\|\tilde{\Phi}(\omega)\|_{cb} = 1$  for every  $\omega \in \overline{\text{Pert}^+(\mathcal{E})}$ .



## Gauge group of the Toeplitz system

We denote by  $\text{Toep}_n$  the Toeplitz system that contains all the  $n \times n$  complex Toeplitz matrices  $T$  of the form

$$T := \begin{pmatrix} t_0 & t_{-1} & \cdots & t_{-n+2} & t_{-n+1} \\ t_1 & t_0 & t_{-1} & \cdots & t_{-n+2} \\ \vdots & t_1 & t_0 & \ddots & \vdots \\ t_{n-2} & \vdots & \ddots & \ddots & t_{-1} \\ t_{n-1} & t_{n-2} & \cdots & t_1 & t_0 \end{pmatrix}$$

with  $t_k \in \mathbb{C}$  for  $k = -n + 1, \dots, n - 1$ .

# Gauge group of the Toeplitz system

## Proposition ([Don21])

The gauge group  $\mathcal{G}(\text{Toep}_n)$  is generated by the diagonal matrices  $U_{\alpha,\beta}$  and anti-diagonal matrix  $V$  of the form

$$U_{\alpha,\beta} = \begin{pmatrix} \alpha & 0 & \cdots & 0 \\ 0 & \beta & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \bar{\alpha}^{n-2}\beta^{n-1} \end{pmatrix}, \quad V = \begin{pmatrix} 0 & \cdots & 0 & 1 \\ 0 & \cdots & 1 & 0 \\ \vdots & \ddots & \vdots & \vdots \\ 1 & \cdots & 0 & 0 \end{pmatrix},$$

here  $|\alpha| = |\beta| = 1$ .

## Gauge group of the Toeplitz system

### Corollary ([Don21])

*The group of  $UCP_{\text{rank}=1}(\text{Toep}_n)$  is isomorphic to the semidirect product of  $U(1)$  and  $\mathbb{Z}_2$ , and the gauge group  $\mathcal{G}(\text{Toep}_n)$  is different from  $UCP_{\text{rank}=1}(\text{Toep}_n)$  by a phase factor, that is,*

$$UCP_{\text{rank}=1}(\text{Toep}_n) = U(1) \rtimes \mathbb{Z}_2$$

*and*

$$\mathcal{G}(\text{Toep}_n) = U(1) \times (U(1) \rtimes \mathbb{Z}_2).$$

*Moreover, We have the short exact sequence which is independent of  $n$ :*

$$1 \longrightarrow U(1) \longrightarrow \mathcal{G}(\text{Toep}_n) \longrightarrow UCP_{\text{rank}=1}(\text{Toep}_n) \longrightarrow 1.$$



- [CCvS13] Ali H. Chamseddine, Alain Connes, and Walter D. van Suijlekom. Inner fluctuations in noncommutative geometry without the first order condition. *J. Geom. Phys.*, 73:222–234, 2013.
- [Don21] Rui Dong. The gauge group and perturbation semigroup of an operator system. *arXiv preprint arXiv:2111.13076*, 2021.
- [Hes16] Laura Hesp. The perturbation semigroup of  $C^*$ -algebras. Master's thesis, Radboud University Nijmegen, 2016.
- [NvS16] Niels Neumann and Walter D. van Suijlekom. Perturbation semigroup of matrix algebras. *J. Noncommut. Geom.*, 10(1):245–264, 2016.